

CX Futures Exchange, L.P

2020-01

40.6 Certification

February 14, 2020

1. The text of the proposed supplement to the CX Futures Exchange, L.P. Compliance Manual is appended as Confidential Attachment A, which is submitted under a Petition for Confidential Treatment.
2. The date of intended implementation of the proposed amendments is ten business days following the filing of this submission.
3. Attached, please find a certification that: (1) these amendments comply with the Commodity Exchange Act, and the Commission's regulations thereunder; and (2) concurrent with this submission, CX Futures Exchange, L.P. ("CX") posted on its website a notice of this submission.
4. A concise explanation and analysis of the operation, purpose, and effect of the amended rules appear below.
5. There were no opposing views expressed regarding these amended rules.
6. Confidential treatment is requested.

**CONCISE EXPLANATION AND ANALYSIS OF THE OPERATION, PURPOSE,
AND EFFECT OF THE CERTIFIED RULE AND ITS COMPLIANCE WITH
APPLICABLE PROVISIONS OF THE ACT, INCLUDING CORE PRINCIPLES
AND THE COMMISSION'S REGULATIONS THEREUNDER**

Pursuant to Commission Rule 40.6(a)(7)(vi), the following is a concise explanation and analysis of the operation, purpose, and effect of the supplemental policy.

CX, by way of clarification of its Compliance Manual, is adopting this supplemental statement of policies and procedures, which addresses the specific duties and responsibilities of the Control Desk. The policy does not alter current procedures, and is merely a clarification.

This document is submitted with a Petition for Confidential Treatment.

CERTIFICATIONS PURSUANT TO SECTION 5c OF THE COMMODITY
EXCHANGE ACT, 7 U.S.C. §7A-2 AND COMMODITY FUTURES TRADING
COMMISSION RULE 40.6, 17 C.F.R. §40.6

I hereby certify that:

- (1) the supplement to the Compliance Manual complies with the Commodity Exchange Act, and the Commodity Futures Trading Commission's regulations thereunder; and
- (2) concurrent with this submission, CX Futures Exchange, L.P. posted on its website a notice of pending certification of the above with the Commission.



By: Nolan Glantz
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Date: 2/14/2020